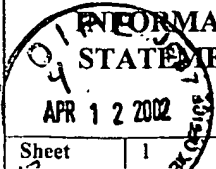


FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 10/035,966	ATTY. DOCKET NO.: N00389/70006
INFORMATION DISCLOSURE STATEMENT BY APPLICANT 		FILING DATE: November 9, 2001	RECEIVED APR 17 2002 Technology Center 2100
		APPLICANT: Burakoff et al.	
		GROUP ART UNIT: 2151	
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Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of Issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
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	2	5,315,634		Tanaka et al.	05-24-1994
	3	5,457,746		Dolphin	10-10-1995
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		Office/Country	Number	Kind Code			
A	16	WO	96/18963	A1	FS Holdings, Inc.	06-20-1996	Y
	17	WO	97/15018	A1	Bell Communications Research, Inc.	04-24-1997	Y
	18	WO	98/07085	A1	Ben Smith, Inc.	02-19-1998	Y
	19	WO	98/26386	A1	Maschoff, Kurt M.	06-18-1998	Y
	20	WO	98/33131	A1	Multex Systems, Inc.	07-30-1998	Y
	21	WO	99/22327	A1	Penware, Inc.	05-06-1999	Y
	22	WO	99/41688	A1	Newriver Investor Communications, Inc.	08-19-1999	Y
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OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
A	24	Bolton, D.W. et al. "Receipt of Solicited and Unsolicited Documents in a Distributed Communication System. October 1980." IBM Technical Disclosure Bulletin, Vol. 23, No. 5, October 1980, pp. 2129-2134, pgs. 1-6.	
	25	Information on Travelers Life & Annuity Company's Universal Annuity products including packaging for shipping a 3.5 inch computer diskette containing InUnity Corporation's technology (8 pp), and including an article dated 5/97 that announces a program related to the Travelers Universal Annuity products and that identifies InUnity Corporation as providing the technological support for the program (2 pp).	
	26	Electronic Data Gathering, Analysis, and Retrieval (EDGAR) — EDGAR Filer Manual: Guide for Electronic Filing with the U.S. Securities and Exchange Commission" by U.S. Securities and Exchange Commission,	

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 10/035,966	ATTY. DOCKET NO.: N00389/70006
INFORMATION DISCLOSURE STATEMENT BY APPLICANT APR 12 2002 Sheet 2 of 2		FILING DATE: November 9, 2001	RECEIVED APR 17 2002 Technology Center 2100
		APPLICANT: Burakoff et al.	
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	28	InUnity Corporation Web Site (www.inunity.com), 19 pages printed on January 21, 1998		
	29	InUnity Corporation's Products and Services, 11 pp, September 1997		
	30	InUnity Corporation's Corporate Overview, 12 pp, May 1997		
	31	"The Fund Profile - A White Paper" by Robert A. Fein of InUnity Corporation, 13 pp, April 1997		
	32	CUSIP Web Site (www.cusip.com), 13 pages printed on February 7, 1998		
	33	Information on ReliaStar Life Insurance Company's Select*Life products including packaging dated September 1997 for Shipping a 3.5 inch computer diskette containing InUnity Corporation's technology (4 pages), and including an article dated November 24, 1997 that announces the availability of digital prospectuses for the Select*Life products and that identifies InUnity Corporation as the developer of the technology (2 pp)		
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	37	"LLRX-A Comparison of SEC Filing Sources," (www.llrx.com/features/sec.htm), May 22, 1997, 5 pp		
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	39	Ferguson, D., "Parsing Financial Statements Efficiently and Accurately Using C And Prolog", Proceedings of the Fifth International Conference on the Practical Application of Prolog, Proceedings FO PAP97. Practical Application of Prolog. Fifth International Conference London, UK, April 22-24, 1997, pp 157, 167		

EXAMINER: 	DATE CONSIDERED: 2/27/07
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

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